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Lei Zhang, Shuxiao Wang, Long Wang, YE WU, Lei Duan, Qingru Wu, Fengyang Wang, Mei Yang, Hai Yang, Jiming Hao, and Xiang Liu

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1 Updated Emission Inventories for Speciated Atmospheric

2 Mercury from Anthropogenic Sources in China

³ Lei Zhang^{1,*}, Shuxiao Wang^{1,2,*,†}, Long Wang¹, Ye Wu^{1,2}, Lei Duan^{1,2}, Qingru

4 Wu¹, Fengyang Wang¹, Mei Yang¹, Hai Yang¹, Jiming Hao^{1,2}, Xiang Liu¹

¹ School of Environment, and State Key Joint Laboratory of Environment Simulation
and Pollution Control, Tsinghua University, Beijing 100084, China

- 7 ² State Environmental Protection Key Laboratory of Sources and Control of Air
- 8 Pollution Complex, Beijing 100084, China
- 9 [†]Corresponding author. Tel.: +86 10 62771466; fax: +86 10 62773597.
- 10 E-mail address: shxwang@tsinghua.edu.cn (S. Wang).
- 11 *Joint first author. These authors contributed equally to this work.
- 12

13 Abstract

China is the largest contributor to global atmospheric mercury (Hg) and accurate 14 15 emission inventories in China are needed to reduce large gaps existing in global Hg 16 mass balance estimates and assess Hg effects on various ecosystems. The China 17 Atmospheric Mercury Emission (CAME) model was developed in this study using 18 probabilistic emission factors generated from abundant on-site measurements and literature data. Using this model, total anthropogenic Hg emissions were estimated to 19 be continuously increasing from 356 t in 2000 to 538 t in 2010 with an average annual 20 21 increase rate of 4.2%. Industrial coal combustion, coal-fired power plants, non-ferrous 22 metal smelting and cement production were identified to be the dominant Hg emission 23 sources in China. The ten largest contributing provinces accounted for nearly 60% of 24 the total Hg emissions in 2010. Speciated Hg emission inventory was developed over 25 China with a grid-resolution of $36 \text{ km} \times 36 \text{ km}$, providing needed emission fields for 26 Hg transport models. In this new inventory, the sectoral Hg speciation profiles were 27 significantly improved based on the latest data from field measurements and more detailed technology categorization. The overall uncertainties of the newly developed 28 inventory were estimated to be in the range of -20% to +23%. 29

- 30 *Keywords*: atmospheric mercury; emission inventory; mercury speciation
- 31

32 **1. Introduction**

33 Mercury (Hg) has drawn global attention due to its persistence, toxicity, long range transport and bioaccumulation in the environment. Atmospheric mercury is divided 34 into three chemical forms, including gaseous elemental mercury (GEM or Hg⁰) and 35 reactive gaseous mercury (RGM or Hg^{II}) and particle-bound mercury (PBM or Hg_{p}).¹ 36 Over 90% of the total atmospheric mercury is Hg^0 with a residence time of several 37 months to a year in the lower atmosphere.² The first legally binding international 38 39 treaty aimed at controlling and reducing global Hg emissions, Minamata Convention 40 on Mercury, was approved at the fifth session of the Intergovernmental Negotiating Committee on mercury (INC5) in January, 2013. China is the largest emitter of 41 atmospheric mercury in the world.³ To better evaluate the environmental impact of 42 anthropogenic Hg emissions in China, accurate emission inventories for China are of 43 44 great importance.

Streets et al.⁴ (2005) developed the first complete anthropogenic Hg emission 45 inventory of China and estimated the total Hg emission in China to be 536 t in 1999. 46 A cooperative study⁵ between Tsinghua University and Argonne National Laboratory 47 analyzed the historical trend of Hg emissions from anthropogenic sources in China 48 49 from 1995 to 2003 and found an average annual increasing rate of 2.9% during this 50 period. The total anthropogenic Hg emission in China reached 696 t in 2003. The Hg 51 emission inventory dedicated for anthropogenic sources in China was only updated to 2003. The recent anthropogenic Hg emission inventories were evaluated in the global 52 level. Pacyna et al.⁶ (2010) estimated that Hg emissions of China in 2005 reached 825 53 t, accounting for 43% of the global emissions. Pirrone et al.⁷ (2010) estimated that 54 55 China emitted 609 t of mercury in 2007, and stationary combustion and non-ferrous metal production accounted for 44% and 33% of the national emissions, respectively. 56 In the 2013 technical report of global mercury assessment by AMAP and UNEP⁸, the 57 Hg emissions from anthropogenic sources in China were estimated to be 575 t in 2010. 58 59 The comparison between different studies was shown in Table S1 in the Supporting 60 Information (SI).

The predominant Hg emission sources in China's inventory are coal combustion, non-ferrous metal smelting, cement production, and iron and steel production. Jiang et al.⁹ (2005) developed a detailed Hg emission inventory for the coal combustion sector in China and got a total emission at 162 t and 220 t in 2000 with two sets of coal

65 mercury data, respectively. A historical trend of Hg emission from coal combustion during 1980–2007 was developed by Tian et al.¹⁰ (2010), and total emission from coal 66 combustion was estimated to be 306 t in 2007. Hylander and Herbert¹¹ (2008) 67 calculated the Hg emissions from zinc, lead and copper smelting in China to be totally 68 83.2 t. Based on recent field measurement results, Wu et al.¹² (2012) updated the Hg 69 emission inventory for zinc, lead and copper smelting to be 72.5 t in 2010. With onsite 70 measurements in several cement plants, Li in his thesis¹³ (2011) assessed the total Hg 71 emission from cement production in China in 2008 to be 20.6 t. $Yang^{14}$ (2014) 72 73 collected and analyzed limestone samples from all over China, conducted field tests in 74 cement plants, updated the Hg emission inventory for cement production in China, and got the total Hg emission from cement production to be 93.5 t in 2010. The study 75 of Tian et al.¹⁵ (2012) showed that the total Hg emissions from municipal solid waste 76 77 (MSW) incineration in China increased from 5.35 t in 2003 to 36.7 t in 2010, while Hu et al.¹⁶ (2012) and Chen et al.¹⁷ (2013) also estimated the Hg emissions from 78 MSW incineration in 2010 and got 6.1 t and 4.7 t, respectively. Zhang et al.¹⁸ (2013) 79 developed Hg emission inventories for biomass fuel combustion in China and found 80 81 the total emission in the range of 2.9–3.8 t from 2000 to 2007. It can be seen that there are large differences among previous inventories due to both emission factor selection 82 83 and estimating methods. Therefore, it is difficult to examine temporal trends without 84 consistency in methods used to estimate emissions.

Large uncertainties exist in the existing inventories. Wu et al.⁵ (2006) used a 85 semi-quantitative approach based on uncertainty ranking of each parameter in 86 87 inventory development and estimated the results of their study had the uncertainty level of $\pm 78\%$ and $\pm 44\%$ (95% confidence interval) in 1995 and 2003, respectively. 88 89 The main cause of the inventory uncertainty lies in the emission factors for major Hg emission sources, such as coal combustion, non-ferrous metal smelting and cement 90 production.¹⁹ With the results of field measurements accumulating and the 91 92 understanding of emission mechanism deepening, the Hg emission factors for the 93 major sectors became more and more accurate, and researchers updated the inventories for major Hg emission sectors in China with new experimental yield. 94 95 However, with respect to Hg emissions from either all anthropogenic sources or major 96 emission sources, deterministic emission factor approach was employed in most 97 previous studies. This method has its limitation and is unable to reflect the 98 probabilistic distribution characteristics of the key factors in the model.

99 The purpose of this study is to obtain the temporal and spatial distributions of 100 atmospheric Hg emissions from anthropogenic sources in China for the period of 2000-2010. A novel methodology, that is, probabilistic process-based method 101 102 according to the information of Hg contents of fuel/raw materials, production process, 103 and Hg removal efficiencies obtained from field tests, was used in this study to 104 acquire more accurate emission estimates and lower uncertainties. Activity levels 105 were refined, and parameters for the Hg emission factors were updated with the latest 106 data from field measurements. The inventories yielded from this study will give a clearer picture on the change of historical Hg emission in China from 2000 to 2010 to 107 108 quantify the co-benefit of air pollution control strategies in China on mercury removal 109 and as well provide spatial distribution of different Hg species in China to serve air 110 quality models.

111 **2. Data and Methodology**

112 **2.1. Model description**

The model developed in this study for estimating speciated anthropogenic atmospheric Hg emissions in China was named China Atmospheric Mercury Emission (CAME) model. Hg emission sources in this model were divided into 6 categories and 23 subcategories shown as Table S2 in the SI. The conventional model is deterministic emission factor model, which uses average values for the input parameters in emission estimation. The core of the CAME model is probabilistic technology-based emission factor, described as Equation (1):

120
$$E(x, y, z) = \sum_{i} A_{i} \cdot M_{i}(x) \cdot (1 - f_{i} \cdot w(y)) \cdot \sum_{j} \sum_{k} R_{j} \cdot (1 - P_{jk} \cdot \eta_{jk}(z))$$
(1)

121 where E(x,y,z) is the probabilistic distribution of the amount of Hg emission from a 122 certain sector; i is the province; j is the type of boiler or technique; k is the type of air 123 pollution control device (APCD) combination; A is the activity level of a given 124 emission sector; M(x) is the probabilistic distribution of the mercury concentration in 125 fuel/raw material; f is the fraction of the pretreatment of fuel or raw material; w(y) is 126 the probabilistic distribution of the mercury removal rate by the pretreatment; R is the mercury release rate of a certain type of boiler or technique; P is the proportion of a 127 certain type of APCD combination; and $\eta(z)$ is the probabilistic distribution of the 128 129 mercury removal rate by a certain type of APCD combination.

130 The CAME model incorporates Monte Carlo simulations to take into account the

131 probabilistic distributions of key input parameters and produce Hg emission results in 132 the form of a statistical distribution. As discussed in detail in the following section, 133 core input parameters such as mercury concentration in fuel/raw material and mercury 134 removal efficiency by APCDs fit the skewed distribution (e.g. lognormal distribution 135 and Weibull distribution). Therefore, the arithmetic mean values used in deterministic 136 models were not able to reflect the best guesses of these key parameters, which could 137 probably result in overestimation or in rare cases underestimation of the Hg emissions 138 from given sectors. The calculations in the CAME model for the dominant sectors, coal combustion, non-ferrous metal smelting and cement production (accounting for 139 140 over 80% of the inventory) were all technology-based and the APCD categorization 141 was more detailed and updated. All the improvements of methodology in this study 142 contributed to more accurate inventories.

Based on dominance of the Hg emission sources and the availability of essential 143 144 data, all the subcategories were ranked into four tiers (see Table S2 in the SI), each 145 tier using a different calculation method. Estimates for emissions from Tier 1 sectors are from previous detailed studies^{18,20}. Sources in Tie 2 use overall emission factors 146 147 due to shortage of on-site measurements in these sources. Emission factors for Tier 2 148 emission sources are listed in Table S3 in the SI. Emission sources in Tier 3 and Tier 4 cover the most important sources in China, including coal combustion, non-ferrous 149 150 metal smelting and cement production, responsible for over 80% of the total 151 anthropogenic emissions in China. Estimates for sources in Tier 3 use probabilistic 152 technology-based emission factor model based on the updated database of the Hg 153 concentration in fuel/raw material and the Hg removal efficiency of APCDs. 154 Estimates for sources in Tier 4, i.e. coal-fired power plants, use a coal-quality-based 155 probabilistic emission factor model, taking into account the influence of coal quality 156 on Hg removal efficiency of APCDs, details of which can be found in our previous paper²¹. Methods for the four tiers are described in detail in Section 1 of SI. 157

158 2.2. Key parameters for different tiers of emission sources

The Hg emission sources in Tier 3 and Tier 4 are dominant ones in China and their key parameters are discussed in detail as follows.

161 2.2.1. Mercury concentration in fuel/raw material

162 Mercury concentration data of 494 raw coal samples, 381 zinc concentrate samples,

163 198 lead concentrate samples, 207 copper concentrate samples and 167 limestone samples (for cement production) were collected in our previous studies^{12,14,21}. 164 Inter-provincial transport matrix for coal was derived from our previous studies to 165 convert mercury concentration in coal as mined into mercury concentration in coal as 166 consumed, and so was the transport matrix for metal concentrate.^{12,21} Imports of coals 167 and non-ferrous metal concentrates are also considered in the transport matrix. With 168 the batch fit function of the software Crystal BallTM, the mercury concentrations in 169 coal, metal concentrates and limestone were all found to fit the lognormal 170 distributions. Detailed data is listed as Table S4 in the SI. 171

172 2.2.2. Mercury removal efficiency of APCDs

173 Onsite measurement results were investigated from existing literature for mercury 174 removal efficiencies of APCDs for coal combustion, non-ferrous metal smelting and 175 cement production, as summarized in Table 1. Typical APCD combinations from coal combustion include ESP, ESP+WFGD, FF, WS and FF+WFGD (all the acronyms for 176 APCDs were defined in the notes of Table 1). Previous studies²¹⁻²³ contributed 104 177 onsite measurements for the coal combustion sector. Crystal BallTM was applied for 178 batch fit, and mercury removal efficiencies of APCD combinations for non-power 179 coal combustion were found to fit the Weibull distribution. Onsite measurements for 180 non-ferrous metal smelters and cement plants^{12,13,24-27} provided data for typical APCD 181 182 combinations in smelters and cement plants. However, the numbers of tests were not large enough to perform a distribution fitting. Mercury removal efficiencies of APCDs 183 184 in these sources were assumed to fit normal distributions. The new dry-process 185 precalciner technology with dust recycling in cement production has much lower mercury removal efficiency than shaft kiln/rotary kiln technology.^{13,26} 186

187 2.2.3. Mercury speciation in exhausted flue gas

The ultimate mercury speciation profiles in exhausted flue gas from different anthropogenic emission sources are crucial to environmental impacts on local and regional scales in China. Mercury speciation data from existing literature^{12,13,21-39} was reviewed and summarized. Hg⁰ is the dominant Hg species for most APCD combinations for coal combustion except FF, because WFGD and WS remove a large amount of Hg^{II} in flue gas. A typical non-ferrous metal smelter with DCDA process inside acid plant has similar percentages of Hg⁰ and Hg^{II}. Mercury reclaiming tower 195 (MRT) can remove a large portion of Hg⁰, resulting in high proportion of Hg^{II} in flue 196 gas. Cement production using dry-process precalciner technology with dust recycling 197 and typical iron and steel production both have high Hg^{II} in exhausted flue gas. These 198 updated profiles are quite different from the previous studies^{4,5} and will probably 199 affect the Hg speciation profiles of the whole anthropogenic emission inventory of 200 China. Details are shown in Table S5 in the SI.

201 2.2.4. Activity levels and APCD installation rates

202 The activity level of the combustion process is the amount of fuel consumed, and 203 that of the production process is the amount of product. Activity levels of Hg emission sources in China from 2000 to 2010 were derived from official statistics⁴⁰⁻⁴². It should 204 205 be noted that the activity level of non-ferrous metal smelting was converted from the 206 amount of metal product to the amount of metal concentrate consumed based on the 207 grade of the concentrate and the metal recovery rate, and the activity level of cement 208 production was converted from the amount of clinker to the amount of limestone used based on the limestone/clinker ratio. The installation rates of APCD combinations for 209 coal combustion and non-ferrous metal smelting was from the previous studies^{12,43-45} 210 of our research group. The national application rate of the new dry-process precalciner 211 212 technology in cement production increased from 10% in 2000 to 82% in 2010, and the 213 rest proportion was shaft kiln/rotary kiln technology. Activity levels for 2000 to 2010 214 and APCD application rates for 2000, 2005 and 2010 are listed in Table S6 and Table 215 **S7** in the SI, respectively.

216 **2.3.** Approach for uncertainty analysis

Streets et al.⁴ (2005) analyzed the uncertainties of Hg emission inventory of 217 anthropogenic sources in China with a semi-quantitative approach by grading all the 218 219 parameters in Hg emission factors. It was only applicable to the deterministic emission factor model and had relatively lower reliability than the quantitative method. 220 Wu et al.¹⁹ (2010) used the P10–P90 confidence interval of the statistical distribution 221 222 of Hg emission as the uncertainty range, where P10/P90 value represents a probability 223 of 10%/90% that the actual result would be equal to or below the P10/P90 value. 224 However, this uncertainty range was even larger than the result from the study of Streets et al.⁴, because these two methods were not comparable. Therefore, a new 225 226 approach was developed here to determine the uncertainty range of a general skewed

227 distribution for the CAME model. The calculating method is shown as Equation (2):

$$u^{\pm} = \frac{\mathrm{Mo} - \sqrt{\sigma_s^{\pm} \sigma_k^{\pm}}}{\mathrm{P50}} - 1 \tag{2}$$

where *u* is the uncertainty; Mo is the mode value; P50 is the value at which there is a probability of 50% that the actual result would be equal to or below; σ_s^- and σ_s^+ are the distances between Mo and the values where the probability equal to f(Mo)/2; $\sigma_k^$ and σ_k^+ are the distances between Mo and P20 or P80.

233 The uncertainty range of a normal distribution is described by the relative standard 234 deviation (RSD). The approach for uncertainty analysis in this study extended the use 235 of the RSD in a normal distribution case to a general skewed distribution case. The 236 uncertainty range yielded from this quantitative approach reflects both the span and 237 the kurtosis of the skewed distribution, which is more reasonable and distinguished 238 from a confidence interval. This approach is better to compare with previous studies, e.g. Streets et al.⁴ (2005). P10/P90 ranges from the study of Wu et al.¹⁹ (2010) can be 239 240 better referred as the confidence interval with a confidence degree of 80%. More 241 details can be found in "methodology for uncertainty analysis" in the SI.

242 **3. Results and discussion**

3.1. Trend of anthropogenic atmospheric mercury emissions

244 Table 2 summarizes Hg emissions from different anthropogenic sources in China. The total atmospheric Hg emissions in China continuously increased from 356 t in 245 246 2000 to 538 t in 2010 with an annual average growth rate (AAGR) of 4.2%. During 247 same period, the activity levels of important sources such as coal-fired power plants, 248 cement plants and non-ferrous metal smelters, increased with AAGRs of more than 249 10%. This indicates that the air pollution control measures in key industrial sectors 250 had significant co-benefit on atmospheric Hg emission controls. The increasing rate of 251 the total national Hg emission was generally consistent from year to year, but 252 fluctuated more rapidly during 2002–2006 than during the other years. The highest 253 increasing rate was triggered by the expedited development of coal-fired power plants, 254 industrial coal combustion, zinc and lead smelting, cement production, and iron and 255 steel production during 2002–2004, and the lowest increasing rate was a result of the 256 widespread application of acid plants in non-ferrous metal smelters during 2004–2006, 257 which has a significant impact on Hg reduction. The emission intensities of coal

258 combustion in both the power sector and the industrial sector decreased continuously 259 from 2000 to 2010, down to 0.065 and 0.158 g Hg/t coal in 2010, respectively. 260 However, the Hg emission intensity of cement production increased continuously from 0.027 to 0.052 g Hg/t cement produced within 2000-2010. Historical 261 262 anthropogenic Hg emission trends from 2000 to 2010 are shown in Figure S2 in the SI, 263 disaggregated by source type. In 2010, the largest contributor of Hg emission was the 264 industrial coal combustion sector, accounting for 22.3% of the national total emissions. 265 Coal-fired power plants, non-ferrous metal smelting (zinc, lead and copper) and cement production are responsible for 18.6%, 18.1% and 18.3% of the total emissions, 266 267 respectively. Iron and steel production, residential coal combustion and mobile oil 268 combustion contributed smaller but non-negligible portions of the total emissions. 269 Although the contribution of waste incineration to total emission is small at present, 270 its contribution could increase dramatically in the future due to its rapid growth rate. 271 Artisanal and small-scale gold mining (ASGM) is illegal in China. Based on the 272 estimation of China Gold Association, these illegal activities accounted for more than 10% of the total gold production back in 1990s but only 1-3% in 2010 owing to the 273 explicit order of prohibition.^{46,47} Therefore, only 6.8 t Hg were emitted from this 274 275 sector. However, it should be noted this estimate is with large uncertainties. Caustic 276 soda production using mercury cell electrolysis process has been controlled since 1996 and eliminated since the early 10th Five-Year Plan period (2001-2005).^{48,49} 277 Secondary emissions in the reutilization of byproducts from coal combustion and 278 279 unorganized emissions from non-ferrous metal smelting are also potential emission 280 contributors that are not considered in this inventory. Figure S3 in the SI shows the sectoral distribution of Hg emissions in China in 2010. 281

282 Coal-fired power plants, industrial coal combustion, non-ferrous metal smelting and cement production are crucial to the whole inventories. The total Hg emissions 283 284 from coal-fired power plants increased significantly by 75% from 2000 to 2005, while 285 remained about 100 t from 2005 to 2010 with a peak value in 2007 when electric 286 power demand was increasing rapidly (shown in Figure S4 in the SI). This reveals the 287 significant co-benefit mercury abatement of the sulfur dioxides (SO_2) control measures in the 11th Five-Year Plan for coal-fired power plants in China. The Hg 288 289 emissions from industrial coal combustion were more or less 100 t from 2004 to 2007 290 and experienced a 20% jump in 2008 due to the sharp increase of the activity level. 291 Mitigation of Hg emission occurred in this sector during the period of 2008–2010 due

292 to PM and SO_2 control measures, although not as significant as in the power sector. 293 More and more wet scrubbers were installed for simultaneous PM and SO₂ removal, 294 and a small proportion of FF and WFGD was also employed. The Hg emissions from 295 non-ferrous metal smelting reached peak in 2003 and then decreased continuously. 296 The Hg emission reduction in this sector was contributed by the adoption of acid 297 plants in smelters since the acid producing process has high mercury removal 298 efficiency. The application rates of acid plants for zinc, lead and copper smelters 299 increased from 61%, 31% and 61% in 2003 to 88%, 66% and 96% in 2010, 300 respectively. However, the Hg emissions from cement plants continuously grew 301 because of the increased cement production and the wide application of dry-process precalciner technology (which emits more Hg due to dust recycling²⁶). The trends of 302 303 the Hg emissions from the four sources are shown in Figure S5 in the SI.

304 3.2. Spatial and species distributions of mercury emissions in China

305 The provincial distributions of Hg emissions in China by sector in 2010 are shown 306 in Figure 1. The top ten provinces are Henan, Shandong, Jiangsu, Yunnan, Hebei, 307 Gansu, Hunan, Guangdong, Inner Mongolia and Hubei, accounted for almost 60% of 308 the total Hg emissions in China. The North China Plain (NCP) region, including 309 Hebei, Henan, Shandong, Jiangsu and Anhui, is the heaviest mercury polluted area in 310 China. The largest atmospheric Hg emitter, Henan Province, had a total emission of over 50 t, most of which derived from industrial coal combustion, coal-fired power 311 plants, lead and zinc smelting and cement production. There are two key factors that 312 313 will affect the range of environmental impacts of the emission sources: the height of 314 the stack and the speciation of mercury in the exhausted flue gas. Hg emissions from "high-stack" sources, such as coal-fired power plants, industrial coal combustion, 315 316 cement production and iron and steel production, have a longer distance of 317 atmospheric transport and thus result in larger range of environmental impact. On the 318 contrast, Hg emissions from "low-stack" sources, such as non-ferrous metal smelting and intentional uses, have a shorter distance of atmospheric transport and intend for 319 more local impacts. This has been proved in previous studies.⁵⁰ The provinces with 320 large emission are divided into "high-stack-intensive" and "low-stack-intensive" ones. 321 Hebei, Shandong, Jiangsu and Guangdong are the "high-stack-intensive" type, while 322 323 Yunnan, Gansu and Hunan are the "low-stack-intensive" type. The mercury pollution 324 in more developed areas, such as the North China region, the Yangtze River Delta

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(YRD) region and the Pearl River Delta (PRD) region, had significant impacts on
regional atmosphere. The mercury pollution in the southwestern, western and central
part of China does more harm to the local environment.

The speciation of mercury in exhausted flue gas has a more significant impact on 328 the distance of Hg transport. Hg⁰ has a lifetime of 0.5 to 2 years, while Hg^{II} and Hg_p 329 have a lifetime of only hours to weeks.^{2,51} Among the total Hg emissions in China in 330 2010, Hg^0 , Hg^{II} and Hg_p accounted for 58.1%, 39.4% and 2.5%, respectively. Based 331 on the locations of large point sources and the assignment of speciated Hg emissions, 332 333 gridded emissions with a resolution of 36 km \times 36 km for large coal-fired power plants, non-ferrous metal smelters, cement plants and iron and steel plants regarded as 334 335 point sources were obtained (see Figure S6 in the SI). The rest Hg emission sources 336 was considered as non-point sources, and their speciated Hg emissions were also 337 distributed to grids. The sum of point and non-point speciated emission are shown in Figure 2. The average national Hg^0 , Hg^{II} and Hg_p emission intensities from 338 anthropogenic sources in 2010 are 19.1, 13.0 and 0.8 μ g/m². The YRD region suffered 339 340 from extremely high emission intensities for all the Hg species due to its dense industries and small area, 181, 108 and 5.1 μ g/m² for Hg⁰, Hg^{II} and Hg_p, respectively. 341 Heavy Hg^0 emissions covered the North China region (98 μ g/m²) and the PRD region 342 $(81 \text{ }\mu\text{g/m}^2)$. The emission of Hg^{II} is even more widely distributed than that of Hg⁰, 343 with substantial amount in North China region (60 μ g/m²) and the Southwest China 344 region (50 μ g/m²) caused by large non-ferrous metal smelters and cement plants. 345 Regional Hg_p emissions also occurred in the North China region (3.6 $\mu g/m^2)$ and the 346 Southwest China region $(3.4 \,\mu\text{g/m}^2)$. 347

348 3.3. Comparisons with existing Hg emission inventories for China

Compared the results from this study with the existing literatures^{5,8} for the same 349 inventory year, both the sectoral and species distributions are quite different between 350 this study and previous ones. The sectoral distributions were compared between this 351 study and Wu et al.⁵ (2006) for the 2003 inventory and between this study and AMAP 352 and UNEP⁸ (2013) for the 2010 inventory, as shown in Figure 3. The most significant 353 difference in the 2003 inventory occurred in non-ferrous metal smelting (Zn, Pb and 354 355 Cu). The total Hg emissions from this sector was estimated to be 146 t in 2003 in this study, 47% lower than the estimate from Wu et al. (2006). The estimation for this 356 357 sector was based on 8 on-site measurements of Chinese non-ferrous metal smelters,

and the high Hg removal efficiency in APCDs in smelters were also confirmed by 358 other recent studies^{7,11,12}. The estimate of Hg emissions from coal combustion in this 359 study was 22% lower than that from Wu et al. (2006), which was mainly due to the 360 difference on Hg removal efficiency of wet scrubber for PM control. The Hg emission 361 362 from MSW incineration was estimated to be 11.6 t in 2010 in this study, almost half of that in the UNEP report⁸ but within the variation range (4.7-36.7 t) of the recent 363 studies¹⁵⁻¹⁷, which indicates large uncertainties in Hg emission from this sector. The 364 two biggest differences in the 2010 inventory between this study and the UNEP report 365 366 were artisanal and small-scale gold mining (ASGM) and industrial coal combustion. 367 There is a good chance that the UNEP report on the China inventory has a significant 368 overestimation of the ASGM sector and an underestimation in the sector of industrial 369 coal combustion. Because official data from China on the activity levels of these two 370 sectors were not available in the UNEP global mercury assessment, the estimation 371 was mostly based on the presumption from other countries, whose circumstances are 372 quite different from China.

373 Table 3 shows the comparison of the profiles of speciated mercury from different sectors in China in 2003 between this study and the previous study⁵. In the previous 374 study, Hg^{II} was the dominant Hg species for coal-fired power plants and industrial 375 coal combustion, accounting for over 70% of the total Hg emissions, and Hg_p took the 376 lead for the sector of residential coal combustion (88%). With the updated Hg 377 speciation data in this study, Hg⁰ turned out to be the dominant form of Hg emission 378 for coal combustion, contributed to 64%, 61% and 83% of the total Hg emissions 379 from power, industrial and residential coal use, respectively. The Hg⁰ proportion of 380 the exhausted flue gas from biomass fuel combustion was 74% in this study, lower 381 382 than the estimation of the previous study (96%). The total emissions from non-ferrous 383 metal smelting and large-scale gold production are 38%–70% lower in this study than those in previous studies, which was caused by the high mercury removal efficiency 384 of acid plants in smelters (86%–99%). The proportion of Hg^{II} in the exhausted flue 385 386 gas from zinc smelting is 50% in this study, much higher than the estimation of the 387 previous study (15%). The same cases also occurred to cement production and iron and steel production that 28% and 63% of the total emissions from these two sectors 388 are Hg^{II} in this study. There are no updated profiles for the sectors of large-scale gold 389 390 production and mercury production. However, the total amount of Hg emissions from 391 these three sectors changed significantly due to the updated Hg emission factors. The

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significant change of Hg speciation in dominant emission sources will drastically
change the estimates of long-range transport of the Hg pollution from China and the
contribution of Hg emissions in China to the global background.

395 3.4. Uncertainties in the mercury emission inventory

396 Using the approach developed in this study for uncertainty analysis, uncertainties 397 of Hg emission estimates for coal combustion, non-ferrous metal smelting and cement 398 production were determined. Based on the probabilistic technology-based emission 399 factor model and the detailed data for parameters in the Hg emission factors, the 400 uncertainty ranges for dominant sources were largely reduced, including coal-fired 401 power plants (-35%, +45%), industrial coal combustion (-45%, +47%), residential 402 coal combustion (-48%, +50%), zinc smelting (-59%, +72%), lead smelting (-65%, -50%)403 +84%), copper smelting (-59%, +72%), and cement production (-66%, +72%). The uncertainty ranges for sources in Tier 1 and 2 were inherited from previous studies.^{4,18} 404 405 The overall uncertainty in the new emission inventory for China was estimated to range from -20% to 23% which was significantly reduced compared with those from 406 previous studies^{4,5} (\pm 44%). The uncertainty ranges for all the sectors are shown in 407 Figure 4. Coal combustion, non-ferrous metal smelting and cement production 408 409 constitute over 80% of the total Hg emission inventory. The significant decrease of 410 uncertainty level in this study is mainly due to the significant decrease of uncertainty levels of these dominant sources, especially non-ferrous metal smelting and cement 411 production. Previous studies^{4,5} adopted single emission factors for non-ferrous metal 412 413 smelting and cement production from international experience, while this study, for 414 the first time, used the results from on-site measurements conducted in China and 415 adopted the technology-based emission factor model, which improved the accuracy of 416 the inventory.

417 The uncertainties in the estimation of coal mercury contents from the two largest 418 coal-producing provinces, Shanxi and Inner Mongolia, contributed 31% and 24% to 419 the uncertainties of Hg emissions from coal-fired power plants, respectively, and 420 contributed 40% and 42% respectively to the uncertainties of Hg emissions from 421 residential coal combustion. The uncertainty of Hg content in coal from Shanxi 422 province also accounted for 36% of the uncertainties of Hg emissions from industrial coal combustion, while another 33% came from the uncertainties in the mercury 423 424 removal efficiency of wet scrubber (WS). For non-ferrous metal smelting, most of the

uncertainties originated from mercury concentration in metal concentrates from large
concentrate-producing provinces, Gansu, Shaanxi and Yunnan contributing 33%, 20%
and 18% respectively for zinc smelting. Uncertainties in the estimation of mercury
concentration in limestone were key factors contributing to the uncertainties of Hg
emission from cement production by 97%.

430 With the adoption of the novel methodology and the reduction of uncertainty levels 431 of key parameters for the estimation of dominant Hg emission sources including coal combustion, non-ferrous metal smelting and cement production, the accuracy of Hg 432 433 emission inventories for China was significantly improved in this study. Uncertainties 434 of Hg concentrations in coal, metal concentrates and limestone are major contributors 435 to the uncertainties of Hg emission estimates of coal combustion, non-ferrous metal 436 smelting and cement production, respectively, and consequently accounted for over 437 60% of the overall uncertainties of the Hg emission inventories of China. Based on 438 current knowledge or practices, further reduction of uncertainties from Hg contents in 439 fuel or raw material is not practical. The uncertainties of activity levels and the APCD 440 application rates contributed more or less 20% to the overall uncertainties. The rest 441 uncertainties were caused by those from the mercury removal efficiencies of APCD 442 combinations, which is possible to be further reduced. The most probable APCD combinations for China in the future are SCR+ESP/FF+WFGD for coal-fired power 443 444 plants, FF+WFGD for coal-fired industrial boilers, acid plant with the DCDA process 445 for non-ferrous metal smelters and dry-process precalciner technology with dust 446 recycling for cement plants, respectively. More researches on the mechanisms of Hg 447 transformation in flue gas across these APCDs need to be conducted to further reduce 448 the uncertainties for cement production. MSW incineration, a potential dominant Hg emission source in the future in China, has a large uncertainty level (-80%, +200%). 449 There is an urgent need of on-site measurements for the MSW incinerators in China. 450 451 Extensive and dedicated field work is required for potential dominant Hg emission 452 sources in China in the future.

453

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607 **Table captions**

- Table 1. Mercury removal efficiency by typical APCD combinations
- Table 2. Summary of total mercury emissions (t) in China by sector, 2000–2010
- Table 3. Comparison of mercury speciation profiles for different sectors in China in
- 611 2003 between this study and the previous study

Emission source	APCD combination	Mean	Min	Max	SD	Number of tests	Probabilistic distribution	Reference
	WS	23	7	59	18	8	Weibull	
	ESP	29	1	83	19	64	Weibull	
	FF	67	9	92	30	10	Weibull	21
Coal	ESP+WFGD	62	13	88	22	19	Weibull	Zhang et al. ²¹ (2012)
combustion	FF+WFGD	86	77	97	10	3	Normal	Wang et al. (2010) Zhang ²³ (2012)
	SCR+ESP+WFGD	69	36	95	24	4	Normal	Σ hang (2012)
	SCR+FF+WFGD	93	86	99	9	2	Normal	
	ESP+CFB-FGD+FF	68	68	68		1	Normal	
	DC+FGS+ESD+DCDA	97.8	94.8	99.7	2.0	6	Normal	
	DC+FGS+ESD+MRT+DCDA	99.2	99.1	99.3	0.2	2	Normal	Wu et al. ¹² (2012)
Non-ferrous	DC+FGS+ESD+SCSA	86.5	86.5	86.5		1	Normal	Wang et al. ²⁵ (2010)
metal smelting	DC+FGS	41	27	55	20	4	Normal	Li et al. ²⁷ (2010)
	DC	12	2	20	7	4	Normal	Zhang et al. ²⁴ (2012)
	FGS	33	17	49	23	2	Normal	
Cement	Shaft kiln/rotary kiln technology without dust recycling	62	57	67	7	2	Normal	Li ¹³ (2011)
production	Dry-process precalciner technology with dust recycling	4.1	1.9	6.1	2.1	3	Normal	Wang et al. ²⁶ (2014)

Table 1. Mercury removal efficiency by typical APCD combinations (%)

Notes: WS – wet scrubber; ESP – electrostatic precipitator; FF – fabric filter; WFGD – wet flue gas desulfurization; CFB-FGD – circulating
 fluidized bed flue gas desulfurization; SCR – selective catalytic reduction; DC – dust collector; FGS – flue gas scrubber; ESD – electrostatic
 demister; MRT – mercury reclaiming tower; DCDA – double conversion double absorption; SCSA – single conversion single absorption.

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Table 2. Summary of total mercury emissions (t) in China by sector, 2000–2010

Emission sector	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	AAGR ^a
Coal combustion	175.1	176.6	175.6	203.9	224.5	230.3	227.9	236.4	257.6	257.4	253.8	3.8
Coal-fired power plants	57.0	62.0	68.5	81.4	90.6	99.7	98.9	105.4	102.5	101.0	100.0	5.8
Industrial coal combustion	86.0	83.3	76.3	89.0	100.9	97.9	96.9	99.8	120.1	121.0	119.7	3.4
Residential coal combustion		19.2	18.8	21.1	20.7	21.3	21.0	20.5	22.2	22.1	20.7	0.5
Other coal combustion	12.5	12.0	12.0	12.4	12.3	11.4	11.0	10.7	12.7	13.4	13.5	0.8
Other combustion	14.6	15.6	16.8	18.0	19.9	22.3	25.1	27.0	28.7	31.1	33.9	8.8
Stationary oil combustion	0.5	0.5	0.5	0.6	0.7	0.6	0.6	0.6	0.5	0.4	0.5	-0.3
Mobile oil combustion	6.5	6.7	7.2	7.8	9.1	9.8	10.6	11.2	12.2	12.4	13.5	7.6
Biomass fuel combustion	2.9	3.2	3.6	3.6	3.7	3.7	3.8	3.5	3.5	3.5	3.5	2.0
Municipal solid waste incineration	0.7	1.1	1.5	1.8	2.2	4.0	5.7	7.2	7.8	10.1	11.6	33.1
Cremation	4.1	4.1	4.1	4.1	4.2	4.2	4.5	4.6	4.7	4.7	4.8	1.6
Non-ferrous metal smelting	96.4	113.1	129.7	146.4	134.6	122.9	116.5	110.2	105.9	101.7	97.4	0.1
Zinc smelting	70.6	85.9	101.3	116.6	103.8	90.9	82.3	73.7	70.1	66.5	62.9	-1.1
Lead smelting	18.2	19.2	20.2	21.2	23.3	25.5	28.2	30.9	30.9	31.0	31.0	5.5
Copper smelting	7.6	7.9	8.3	8.6	7.5	6.4	6.0	5.5	4.9	4.2	3.5	-7.4
Precious metal production	26.0	24.9	24.7	24.3	24.6	23.5	22.0	22.0	21.1	20.3	18.8	-3.2
Large-scale gold production	4.3	4.5	4.7	5.0	5.3	5.6	6.1	6.9	7.2	8.0	8.8	7.3
Artisanal and small-scale gold mining	21.2	20.0	19.0	18.1	17.0	15.7	14.4	13.5	11.3	9.4	6.8	-10.7
Mercury production	0.4	0.4	1.0	1.2	2.3	2.2	1.5	1.6	2.7	2.9	3.2	22.8
Building material production	21.9	25.6	29.7	37.1	46.7	57.8	69.3	79.8	87.1	106.4	128.1	19.3
Cement production	16.0	18.7	21.2	26.7	33.7	41.6	50.0	56.9	63.4	80.1	98.3	19.9
Iron and steel production	5.1	6.1	7.3	8.9	11.3	14.1	16.8	19.6	20.1	22.9	25.5	17.4
Aluminum production	0.7	0.9	1.1	1.5	1.8	2.1	2.5	3.3	3.5	3.4	4.3	19.2
Intentional use	21.8	14.3	11.8	8.9	8.0	7.4	7.0	6.7	6.4	6.1	5.8	-12.4
Chlor-alkali production	2.8	2.8	2.8	2.6	2.6	2.6	2.6	2.6	2.6	2.6	2.6	-0.7
Reagent production	1.9	1.9	1.9	1.9	1.9	1.9	1.9	1.9	1.9	1.9	1.9	0.0
Thermometer production	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.0
Fluorescent lamp production	13.4	7.3	5.2	3.0	2.3	1.8	1.5	1.2	1.0	0.7	0.5	-28.0
Battery production	3.0	1.6	1.2	0.7	0.5	0.4	0.3	0.3	0.2	0.2	0.1	-27.8
Total anthropogenic emission	355.7	370.0	388.2	438.5	458.2	464.1	467.7	482.1	506.7	523.0	537.8	4.2

619 Notes: ^a Annual average growth rate, %.

	Wu et al. ⁵ (2006)								This study							
		Amou	unt (t)		Percentage (%)				Amount (t)			Percentage (%)				
	Hg^{T}	Hg^0	$\mathrm{Hg}^{\mathrm{II}}$	Hg _p	Hg ⁰	$\mathrm{Hg}^{\mathrm{II}}$	Hg _p	Hg^{T}	Hg^0	$\mathrm{Hg}^{\mathrm{II}}$	Hg _p	Hg ⁰	Hg ^{II}	Hg _p		
Coal-fired power plants	100.1	20.0	78.1	2.0	20.0	78.0	2.0	81.4	52.4	27.6	1.3	64.4	34.0	1.6		
Industrial coal combustion	124.3	20.4	88.5	15.4	16.4	71.2	12.4	89.0	54.0	29.6	5.3	60.7	33.3	6.0		
Residential coal combustion	21.7	2.0	0.7	19.1	9.0	3.0	88.0	21.1	17.6	1.5	2.1	83.1	6.9	10.0		
Biomass fuel combustion	10.7	10.3	0.0	0.4	96.0	0.0	4.0	3.6	2.7	0.2	0.8	74.4	4.8	20.8		
Municipal solid waste incineration	10.4	9.9	0.0	0.4	96.0	0.0	4.0	1.8	1.8	0.0	0.1	96.0	0.0	4.0		
Zinc smelting	187.6	150.1	28.1	9.4	80.0	15.0	5.0	116.6	52.9	57.9	5.8	45.3	49.7	5.0		
Lead smelting	70.6	56.5	10.6	3.5	80.0	15.0	5.0	21.2	14.7	5.4	1.1	69.4	25.6	5.0		
Copper smelting	17.6	14.1	2.6	0.9	80.0	15.0	5.0	8.6	5.1	3.1	0.4	59.0	36.0	5.0		
Large-scale gold production	16.2	12.9	2.4	0.8	80.0	15.0	5.0	5.0	4.0	0.7	0.2	80.0	15.0	5.0		
Mercury production	27.5	22.0	4.1	1.4	80.0	15.0	5.0	1.2	1.0	0.2	0.1	80.0	15.0	5.0		
Cement production	35.0	28.0	5.3	1.8	80.0	15.0	5.0	26.7	18.1	7.6	1.1	67.6	28.4	4.0		
Iron and steel production	8.9	7.1	1.3	0.4	80.0	15.0	5.0	8.9	2.9	5.6	0.4	32.1	62.9	5.0		

Table 3. Comparison of mercury speciation profiles for different sectors in China in 2003 between this study and the previous study

622 Figure captions

- Figure 1. Provincial distribution of mercury emissions in China in 2010.
- Figure 2. Gridded mercury emissions in China in 2010: (a) Hg_T ; (b) Hg^0 ; (c) Hg^{II} ; (d)
- 625 Hg_p.
- 626 Figure 3. Comparison of Hg emission inventories between previous studies and this
- 627 study for 2003 and 2010.
- Figure 4. Uncertainty ranges of mercury emissions from different sources in China.
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Temporal trend and species distribution of mercury emissions from China 244x134mm (150 x 150 DPI)